

UTILITY PATENT APPLICATION  
UNDER 37 CFR 1.53(b)

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Sir:

Transmitted herewith for filing is the patent application of

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FOR: APPARATUS FOR SCANNING DOCUMENT

Enclosed are:

- ☒ 15 pages of specification, claims, abstract  
☐ Declaration & Power of Attorney  
☐ Priority Claimed  
☐ Certified copy of  
☒ 5 sheets of formal drawing  
☒ An assignment of the invention to MUSTEK SYSTEMS INC. and the assignment  
recordation fee  
☐ A verified statement to establish small entity status under 37 CFR 1.9 and 37 CFR 1.27  
☐ Preliminary Amendment  
☐ Information Disclosure Statement, Form PTO-1449  
☐ Copies of IDS Citations  
☒ Return Receipt Postcard

The filing fee has been calculated as shown below:

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If multiple dependent claims are presented, add \$ 260.00					\$ 0.00
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Respectfully submitted,

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## APPARATUS FOR SCANNING DOCUMENT

### DESCRIPTION

#### BACKGROUND OF THE INVENTION

##### 5                    *Field of the Invention*

10                    The present invention generally relates to an improved image scanning device. During scanning processes, the document can be placed upwardly that the user can verify and align the placement of the document. If the document is inclined, the user can therefore adjust the document to match the requirement of the image scanning device and also the need of a user.

##### *Background Description*

15                    Due to the issue of environment protection, recently the traditional paper documents have been requested to be converted into electric file format for archiving and transmitting purpose and need. The ideal non-paper office and home environment can  
20                    therefore be achieved. In this case, image scanning devices are broadly employed in our world.

                    Generally, there are two kinds of documents. They are reflective documents and transparent documents. The reflective document is directed to a

document which is non-transparent, such as an ordinary paper, a picture or a print. The transparent document is directed to a document which is able to let the light passing through, such as a film, a slide and the kind.

A traditional image scanning device is shown in Figure 1A and 1B. The traditional image scanning device 1 is employed to scan an ordinary reflective document in order to get the digitalized image of the document. The image scanning device comprises a lower housing 11 and an upper cover 12. The lower housing 11 includes at least a scanning module 112, a document plate 113 and the driving module 114. A user is needed to place a document 13 above the document plate 113 for further scanning. Especially, the document 13 is required to face down to the lower housing 11. The user is therefore to close the cover on the document 13. Once the document 13 is placed by facing down, the user is able to start the scanner driver program to scan, or to press a switch button, implemented on the scanner (not shown in the figures) to drive the image scanning device 1 for conducting a scan. When the image scanning device 1 is instructed by the user's action, the reflective document light source 1121 of the scanning module 112 is turned on to projecting a light to the document 13. The light is further reflected back to the scanning module 112 by the document 13. After the reflection provided by a reflection mirror 1123, the path of light is changed to pass lens 1124 and be formed on the image

generating module 1122. The image generating module 1122 therefore generates and outputs digitalized signals of the specific portion of the document. Accordingly, the driving module 114 of the image scanning device 1 urges the scanning module 112 to the direction shown as an arrow legend, for completing whole scanning process.

In view of the above, the scanning page of the document is required to face down in order to complete the scan. In this case, it is inconvenient to the usage. For examples, if the document is larger than the document plate, the user has to adjust the position of the document many times to get a proper scanning result. This is for the reason that the user cannot see the pages of the document due to the document is facing down. Further, if the page of the document is inclined, the OCR (Optical Character Recognition) software may not be able to precisely recognize the words shown in the inclined scanned page image. Therefore, the user would like to avoid the inclination of the document during the scanning processes. In traditional scanning devices, due to the document facing down, the user is hard to adjust the document to be aligned.

Another known scanning type of the image scanning device is shown in Figure 2A and 2B. The scanning device is directed to scan a transparent document. The lower housing 11 is the same as the lower housing shown in Figure 1B. The upper cover 12 of the Figure 1A and 1B has been modified to an upper housing 14. The upper housing includes a

transparent document light source 141 and the light source driving module 142. The transparent document light source 141 provides a light passing through the document 13. Then following the method described in Figure 1B, the light is projected into the image generating module 1122. The image signals of the document is generated out as a digital form. Once a portion of the image of the document is generated, the driving module 114 drives the scanning module 112 along the direction shown by the arrow legend. At the meantime, the light source driving module 142 urges the light source 141 synchronously in order to scan the next portion of the document.

Regarding to the upper housing 14 of the above, there is a known model shown in Figure 2C. The upper housing 14 includes a light propagating plate 143 and a plate light 144. The light propagating plate 143 can distribute the light equally to the whole area. The plate light provides the light to the plate for the distribution of the light. When the user conduct a scanning procedure, the light distributed by the plate would pass the transparent document and be projected on the image generating module 1122 as mentioned in the above as Figure 1B. The image signals of the document is generated out as a digital form. Once a portion of the image of the document is generated, the driving module 114 drives the scanning module 112 along the direction shown by the arrow legend. Especially, the scanning module 112 employs the light distributed by the plate. There is no need to drive the plate light.

In view of the above, since the document is placed facing down, even when the user is able to see the page of a transparent document, the content (such as the words, pictures or incline degree) is mirrored. It is still not easy to adjust the document at the user's instinct. Moreover, it is hard to recognize the characters when the characters are mirrored by reversing left and right sides.

10

#### **SUMMARY OF THE INVENTION**

It is therefore an object of the present invention to provide an apparatus for scanning documents at users' convenience, particularly by scanning a facing up document. The user is therefore able to align the document by directly viewing the pages for further scanning when the document faces up. Thus, the user is able to precisely adjust the placement and the inclination of the document.

#### **BRIEF DESCRIPTION OF THE DRAWINGS**

20 The foregoing and other objects, aspects and advantages will be better understood from the following detailed description of a preferred embodiment of the invention with reference to the drawings, in which:

25 Figure 1A is a schematic demonstration of a traditional scanning device;

Figure 1B shows the cross section of the traditional scanning device;

Figure 2A is a schematic demonstration of another scanning device;

Figure 2B is the cross section of the other scanning device during scanning a transparent document;

Figure 3A shows the schematic demonstration of the present invention;

Figure 3B is the cross section of the first embodiment of the present invention;

Figure 3C is the cross section of the second embodiment of the present invention; and

Figure 3D is the cross section of the third embodiment of the present invention.

#### **DETAILED DESCRIPTION OF A PREFERRED EMBODIMENT OF THE INVENTION**

Please refer to Figure 3A and 3B for the first embodiment of the present invention. The first embodiment of the present invention is directed to a scanning device with improvement of scanning a reflective document. The scanning device at least includes a lower housing 31 and an upper housing 32. The upper housing 32 has an axle 311 for engaging the upper housing 32 to the lower housing 31. Thus, the upper housing can open or close the lower housing 31. The lower housing includes a document plate 312 which is positioned in the upper side of the lower housing 31. The document plate 312 is directed to be placed with a document. The upper housing 32 includes a light source 3211 projecting



light for scanning purpose, an image generating device 3212 for generating digitalized image signals of the document, a reflection mirror 3213 and a lens 3214. The image generating device 3212 usually is a Charge Couple Device (CCD). The reflection mirror 3213 is directed to change the light path in order to match the placement of components implemented in the scanning device. The lens 3214 is directed to focus the optical image of the document 33 to the image generating device 3212. The upper housing further includes a driving module 322 for moving the scanning module 321 to proceed scanning procedures. For stability purpose, there is a weighted element 313 mounted inside the lower housing 31. The weighted element 313 can be metal material or material with heavy weight, such as Lead. In this case, it would be stable if the upper housing which contains many modules inside is opened. The lower housing would not be falling down if the upper housing is at open position.

In view of the above mentioned, when the user would like to scan a reflective document, the user can place the document on the document plate 312 and make the document 33 facing up. Then the user can check whether the document is smooth without any wrinkles on it, or the user can adjust the position of the document to determine which portion of the document should be scanned. Once the inspection is done, the user closes the upper housing 32 and starts a program to drive the scanning device 3 to conduct scanning procedures. In some models of the

scanning devices, the user only needs to press a button implemented in the scanning device to start the scanning procedures. Once the scanning device 3 receives scanning instructions, the reflective document light source 3211 of the scanning module 321 will project a light to the document 33. The light is further reflected by the document 33 to the scanning modules. By the reflection mirror 3213, the light path is changed to pass through the lens 3214 and be focused on the image generating device 3212. The image generating device 3212 then generates digitalized image signals of the document. The driving module 322 advances the scanning module 321 to next position in order to completely scan predetermined area of the document.

Figure 3C shows the second embodiment of the present invention. Figure 3C is the cross section of the second embodiment. The second embodiment is directed to scan a transparent document. The lower housing 31 further includes a transparent document light source 314 for projecting a light passing through the transparent document, and a light source driving module 315 for moving the transparent document light source 314 that the light source 314 can move with the scanning module 321 synchronously.

According to the above description, when a user want to scan a transparent document 33, the user is able to place the document on the document plate 312 and make the transparent document 33 facing up. Thus, the user can visually examine the alignment of the transparent document 33 to adjust the position

of the document and correct the inclination. After finishing the examination, the user close the upper housing 32 and start a program to begin the scanning procedures, or push a button implemented in the scanning device (not shown in the figures). Since the transparent document 33 is able to let the light pass through. The image scanning device will turn off the reflective document light source 3211 and turn on the transparent document light source 314. Thus, the light is projected from the transparent document light source 314 and passes through the transparent document 33. After passing through, the light is transmitted into the scanning module 321. By the reflection mirror 3213, the light path is changed to be focused on the image generating device 3212 by the help of lens 3214. In this case, the image generating device 3212 transforms the optical image of the document to digitalized image signals. Once the step is done, the light source driving module 315 will move the transparent document light source 314 along the direction of legend arrow, which is the synchronous movement as the scanning module 321, to completely conduct the scanning procedures.

The third embodiment is shown as Figure 3D. The third embodiment provides another improvement of the present invention. The third embodiment is directed to an apparatus for scanning a transparent document. The difference between the second embodiment and the third embodiment is the third embodiment employs a light plate 316 for distributing the light coming

for the light source 317. The light plate 316 is positioned below the document plate 312 but still inside the lower housing 31. The purpose of the light plate 316 is to distribute the light from the light source 317 equally to the document plate.

In view of the above, when a user want to scan a transparent document 33, the user is able to place the document on the document plate 312 directly and make the transparent document 33 facing up. Please refer to Figure 3A. Thus, the user can visually examine the wrinkles or alignment of the transparent document 33 to adjust the position of the document and correct the inclination. After finishing the examination, the user close the upper housing 32 and start a program to begin the scanning procedures, or push a button implemented in the scanning device (not shown in the figures). Since the transparent document 33 is able to let the light pass through. Since the document is transparent, the image scanning device will turn off the reflective document light source 3211 and turn on the light source 317. Thus, the light is equally distributed to the transparent document upwardly by the distribution help of the light plate 316 and passes through the transparent document 33. After passing through, the light is transmitted into the scanning module 321. By the reflection mirror 3213, the light path is changed to be focused on the image generating device 3212 by the help of lens 3214. In this case, the image generating device 3212 transforms the optical image of the document to

digitalized image signals. Once the step is done,  
the light source driving module 315 will move the  
transparent document light source 314 along the  
direction of legend arrow, which is the synchronous  
5 movement as the scanning module 321, to completely  
conduct the scanning procedures.

Generally, the first, second and third  
embodiments of the present invention are all  
directed to document being placed facing up on a  
10 document plate. Because the document is faced up,  
the user can visually examine the wrinkles,  
alignment or inclination of the document before  
starting the scanning. In this case, it is convenient  
to user to do the scanning. Furthermore, in order to  
15 avoid the upper housing is heavy to cause stability  
problem to the image scanning device, the present  
invention place a heavy material to balance the  
weight of the upper housing and the lower housing.

Although preferred embodiments of the present  
20 invention have been described in the forgoing  
description and illustrated in the accompanying  
drawings, it will be understood that the invention  
is not limited to the embodiments disclosed, but is  
capable of numerous rearrangements, modifications,  
25 and substituting of parts and elements without  
departing from the spirit and scope of the  
invention. Accordingly, the present invention is  
intended to encompass such rearrangements,  
modifications, and substitutions of parts and  
30 elements as fall within the scope of the appended



CLAIMS

1 1. An image scanning device comprising:  
 2 a lower housing, comprising a document plate,  
 3 which is positioned at the top side of said lower  
 4 housing for placing a document; and  
 5 an upper housing, positioned above said lower  
 6 housing, comprising  
 7 a scanning module, positioned inside said upper  
 8 housing for transforming an optical image to  
 9 digitalized image signals and  
 10 a driving module, positioned inside said upper  
 11 housing for driving said scanning module to conduct  
 12 the scanning.

1 2. The image scanning device of claim 1, wherein  
 2 said scanning module comprising at least:  
 3 a reflective document light source for  
 4 generating a light to a reflective document;  
 5 an image generating device for transforming  
 6 said optical image of said document to said  
 7 digitalized image signals; and  
 8 a lens, placed between said reflective document  
 9 light source and said image generating device, for  
 10 focusing said optical image onto said image  
 11 generating device.

1 3. The image scanning device of claim 1, said lower  
 2 housing further comprising:  
 3 a weight device for increasing the weight of  
 4 said lower housing.

1        4. The image scanning device of claim 3, wherein  
2        said weight device is made by Lead.

1        5. The image scanning device of claim 1, said upper  
2        housing and said lower housing are engaged by an  
3        axle for closing and opening purposes.

1        6. The image scanning device of claim 1, wherein  
2        said lower housing further comprising:  
3                a transparent document light source for  
4        projecting a light to a transparent document; and  
5                a light source driving module for moving said  
6        transparent document light source synchronously with  
7        said scanning module to conduct the scanning.

1        7. The image scanning device of claim 1, wherein  
2        said lower housing further comprising:  
3                a light source for producing a light; and  
4                a light plate, positioned below said document  
5        plate for distributing said light equally to said  
6        document.



**APPARATUS FOR  
SCANNING DOCUMENT**

**ABSTRACT OF THE DISCLOSURE**

5           The present invention provides an image  
scanning device having an upper housing and a lower  
housing. The upper housing includes at least a  
scanning module for outputting digitalized image  
signals. Thus, a user can place a document facing up  
10       on a document plate and therefore be able to examine  
the wrinkles, alignment and inclination.

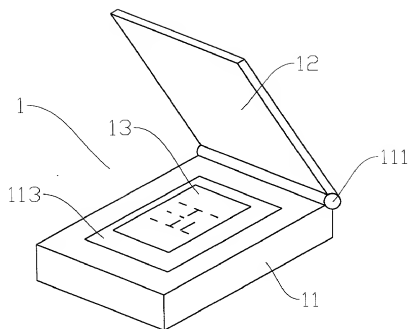


Fig. 1A (PRIOR ART)

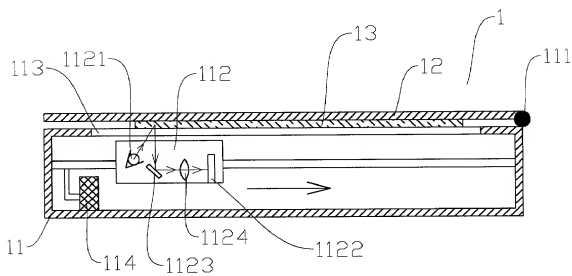


Fig. 1B (PRIOR ART)

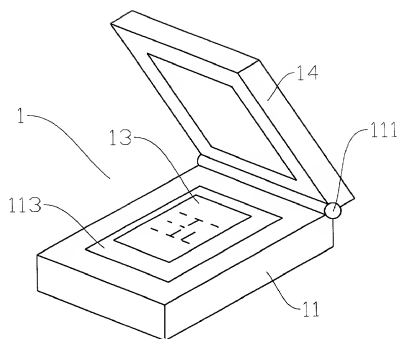


Fig. 2A (PRIOR ART)

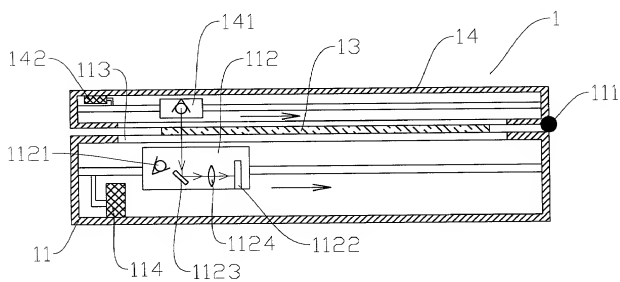


Fig. 2B (PRIOR ART)

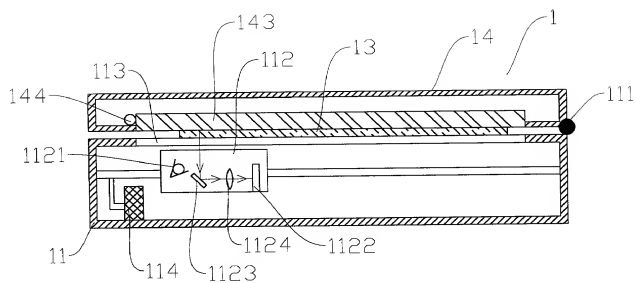


Fig. 2C (PRIOR ART)

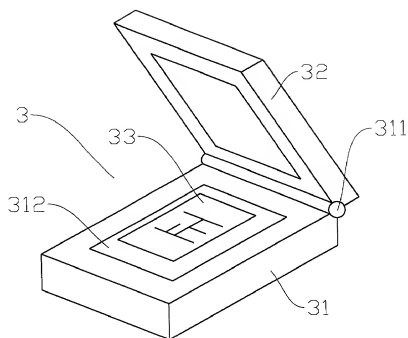


Fig. 3A

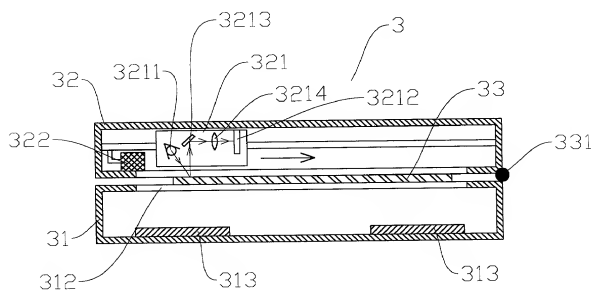


Fig. 3B

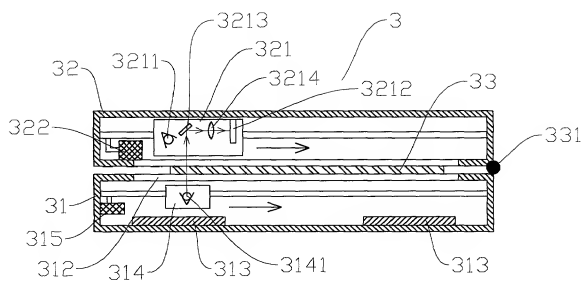


Fig. 3C

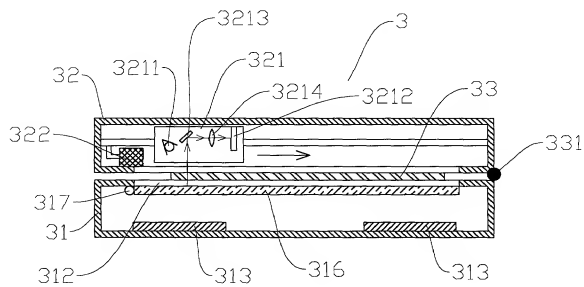


Fig. 3D

Docket No.:

## **DECLARATION AND POWER OF ATTORNEY**

As a below named inventor, I hereby declare that:

My residence, post office and citizenship are as stated below next to my name,

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter claimed and for which a patent is sought on the invention entitled APPARATUS FOR SCANNING DOCUMENT, the specification of which

☐ is attached hereto ☐ was filed on as Application Serial No. \_\_\_\_\_ and was amended on \_\_\_\_\_ (if applicable)

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is known to me to be material to patentability in accordance with Title 37, Code of Federal Regulations, Section 1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, Section 119(a)-(d) or Section 365(b) of any foreign application(s) for patent or inventor's certificate, or Section 365(a) of any PCT international application which designated at least one country other than the United States, listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

**Prior Foreign Application(s):**

Number      Country      Day/Month/Year filed

**Priority Claimed**

Yes      No

I hereby claim the benefit under 35 USC §119(e) of any United States provisional application(s) listed below.

**Prior Provisional Application(s):**

Application Number      Filing Date

I hereby claim the benefit under Title 35, United States Code, Section 120 of any United States application(s), or Section 365(c) of any PCT international application designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT international application in the manner provided by the first paragraph of Title 35, United States Code, Section 112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, Section 1.56 which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

**Prior U. S. Application(s):**

Serial No.      Filing Date

Status: Patented, Pending, Abandoned

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

I hereby appoint the following attorney(s) and/or agent(s): Allan M. Lowe, Reg. No. 19,641; Israel Gopstein, Reg. No. 27,333; Benjamin J. Hauptman, Reg. No. 29,310; Kenneth M. Berner, Reg. No. 37,093, Michael G. Gilman, Reg. No. 19,114; and Randy Noranbrock, Reg. No. 42,940, all of

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with full power of substitution and revocation, to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith, and all future correspondence should be addressed to them.

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Inventor's signature: Jenn-Tsair Tsai Date: 10/20/2000

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Inventor's signature: I - Chang Lu Date: 10/20/2000

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